

### 4. Extending grammars with features

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Reading:

Jurafsky & Martin: 12.3.4–6, 15.0–3; [Allen: 4.1–5]; Bird et al: 9.

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## Agreement and inflection

- Problem: Agreement phenomena.
  - Nadia {wash<u>es</u>/\***wash**} the dog. The boys {\***wash<u>es</u>/wash} the dog.** You {\***wash<u>es</u>/wash} the dog.**
- Morphological inflection of verb 'must' match subject noun in person and number (in English).

## Subject-verb agreement 1

#### Present tense

	Singular		Plural	
1	Ι	wash	we	wash
2	уои	wash	уои	wash
3	he, she, it	washes	they	wash
1	Ι	am	we	are
2	уои	are	уои	are
3	he, she, it	is	they	are

## Subject–verb agreement 2

	Past tense				
	Singular		Plural		
1	Ι	washed	we	washed	
2	уои	washed	уои	washed	
3	he, she, it	washed	they	washed	
1	Ι	was	we	were	
2	уои	were	уои	were	
3	he, she, it	was	they	were	

### Agreement features 1

- English agreement rules are fairly simple.
  - Subject :: verb w.r.t. **person** and **number**.
  - No agreement required between verb and object.
- Many languages have other agreements.
  - E.g., German: Article and adjective ending depends on noun gender and case:

### Agreement features 2

Nominative Case (Subject Case)

Masculine	Feminine	Neuter	Plural <b>die</b>					
<b>der</b>	<b>die</b>	das						
der neu <mark>e</mark> Wagen	die schön <mark>e</mark> Stadt	das alt <b>e</b> Auto	die neu <b>en</b> Bücher					
the new car	the beautiful city	the old car	the new books					
Masculine	Feminine	Neuter	Plural					
<b>ein</b>	<b>eine</b>	<b>ein</b>	<b>keine</b>					
ein neu <b>er</b> Wagen	eine schön <mark>e</mark> Stadt	ein alt <b>es</b> Auto	keine neu <mark>en</mark> Bücher					
a new car	a beautiful city	an old car	no new books					
Accusative Case (Direct Object)								
Masculine	Feminine	Neuter	Plural <b>die</b>					
<b>den</b>	<b>die</b>	das						
den neu <mark>en</mark> Wagen	die schön <mark>e</mark> Stadt	das alt <b>e</b> Auto	die neu <b>en</b> Bücher					
the new car	the beautiful city	the old car	the new books					
Masculine	Feminine	Neuter	Plural					
<b>einen</b>	<b>eine</b>	<b>ein</b>	<b>keine</b>					
einen neu <mark>en</mark> Wagen	eine schön <mark>e</mark> Stadt	ein alt <mark>es</mark> Auto	keine neu <mark>en</mark> Bücher					

aao33098.htm http://german.about.com/library/weekly/aao3o298.htm and Ask about.com: German language: Adjective endings I and II.

## Agreement features 3

# E.g., Chinese: Numeral classifiers, often based on shape, aggregation, ...:

两条鱼 liang tiao yu 'two CLASSIF-LONG-ROPELIKE fish' 两条河 liang tiao he 'two CLASSIF-LONG-ROPELIKE rivers' 两条腿 liang tiao tui 'two CLASSIF-LONG-ROPELIKE legs' 两条裤子 liang tiao kuzi 'two CLASSIF-LONG-ROPELIKE pants' 两只胳膊 liang zhi gebo 'two CLASSIF-GENERAL arms' 两件上衣 liang jian shangyi 'two CLASSIF-CLOTHES-ABOVE-WAIST tops' 两套西装 liang tao xizhuang 'two CLASSIF-SET suits'

Zhang, Hong (2007). Numeral classifiers in Mandarin Chinese. *Journal of East Asian Linguistics*, 16(1), 43– 59. Thanks also to Tong Wang, Vanessa Wei Feng, and Helena Hong Gao.

## Inflectional morphology

- Word may be **inflected** ...
  - ... to indicate some semantic properties: singular / plural, past / present, ...
  - ... to agree with inflection of other words.
- Each (open-class) word-type has a base form / stem / lemma.
- Each occurrence of a word includes inflection by a (possibly null) morphological change.

## Rule proliferation 1

- **Problem:** How to account for this in grammar.
- Possible solution: Replace all NPs, Vs, and VPs throughout the grammar.

 $S \rightarrow NP VP$  $VP \rightarrow V NP$  $NP \rightarrow you$ , dog, dogs, bear, bears, ...  $V \rightarrow$  washes, wash, washed, is, was, ...  $S \rightarrow NP_{3s} VP_{3s}$  $NP_2 \rightarrow you$ V1s  $\rightarrow$  am, was, wash,  $S \rightarrow NP_{3p} VP_{3p}$ washed, ...  $S \rightarrow NP_2 VP_2$  $VP_{3s} \rightarrow V_{3s} NP$  $S \rightarrow NP_{1s} VP_{1s}$  $V_{3s} \rightarrow is$ , was, washes,  $S \rightarrow NP_{1p} VP_{1p}$ washed, ...  $V_{3p} \rightarrow are, were, wash,$ NP<sub>3s</sub>  $\rightarrow$  dog, bear, ...  $NP_{3p} \rightarrow dogs, bears, ...$ washed, ...

9

## Rule proliferation 2

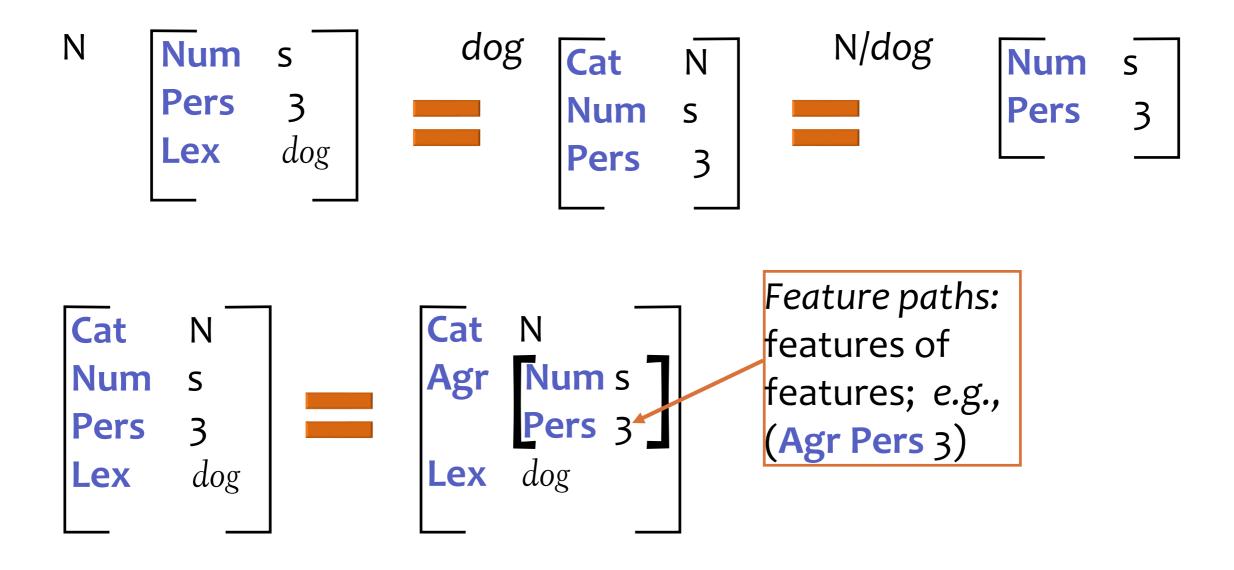
- Drawback: Losing the generalization:
  - All these Ss, NPs, VPs have the same structure.
  - Doesn't depend on particular verb, noun, and number.
- Context-free rules collapse together structural and 'featural' information.
- All information must be completely and directly specified.
  - E.g., you can't specify agreement without fully specifying that agreement.

### Feature structures 1

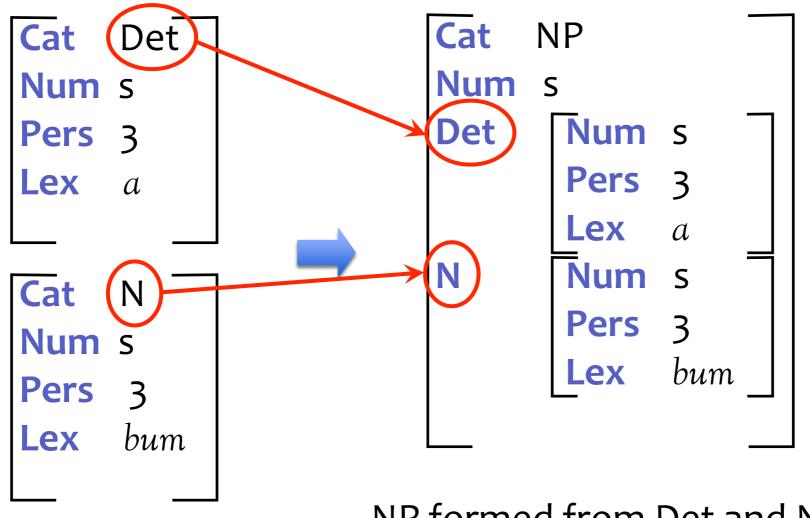
- Solution: Separate feature information from syntactic, structural, and lexical information.
- A **feature structure** is a list of pairs: [feature-name feature-value]
- Feature-values may be atoms or feature structures.
- You can consider syntactic category or word to be features too.
- You can represent syntactic structure.

### Feature structures 2

• Many equivalent notations.



### Feature structures 3



NP formed from Det and N. Feature values in components become feature names in new constituent.

### Components of feature use

#### • 1. Lexical specification:

Description of **properties** of a word: morphological, syntactic, semantic, ...

CatNsleeps:CatVAgr3sSleep:CatVCatNsleep:CatVAgr3pSleep:CatVAgr4gr4gr4gr dog: Cat N Agr 3s ^ = 'not' dogs: Or:  $N \rightarrow dog$  $V \rightarrow sleeps$ (N Agr) = 3s(V **Agr**) = 3s  $N \rightarrow dogs$  $V \rightarrow sleep$ (N Agr) = 3p $(V Agr) = \{15, 25, 1p, 2p, 3p\}$ 14

### Components of feature use

- 2. Agreement:
  - Constraints on co-occurrence in a rule within or across phrases.
  - Typically are equational constraints.

```
NP → Det N
(Det Num) = (N Num)
S \rightarrow NP VP
(NP Agr) = (VP Agr)
```

### Components of feature use

- 3. Projection:
  - Sharing of features between the head of a phrase and the phrase itself.

$$VP \rightarrow V \dots$$

$$(VP \text{ Agr}) = (V \text{ Agr})$$

Usually the actual values are specified in the definition of the head.

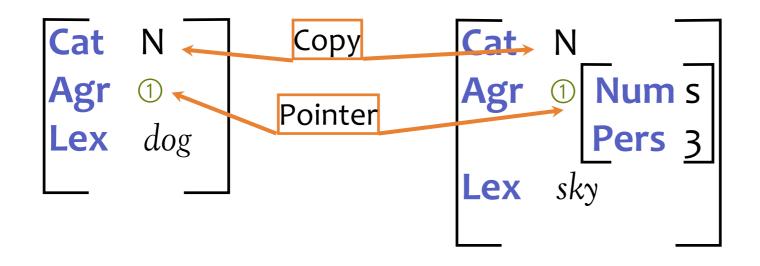
- Head features:
  - Agr is typical, but the head word itself can be a feature.

"head"

(Common enough that there's usually a mechanism for "declaring" head features and omitting them from rules.)

### Feature pointers

- What does it mean for two features to be "equal"?
  - A copy of the value or feature structure, or a pointer to the same value or feature structure (re-entrancy, shared feature paths).



### Constraints on feature values

 But: It may be sufficient that two features are not equal, just compatible — that they can be unified.

### Subsumption of feature structures 1

- Feature structure X subsumes feature structure Y if Y is at least as specific as X.
  - We can also say that Y extends X.
     Y can add (non-contradictory) features to those in X.
- **Definition:** X subsumes  $Y(X \subseteq Y)$  iff:
  - For all f ∈ features of X:
    - **if f** is atomic, **then** X.**f** = Y.**f**
    - else X.f subsumes Y.f
  - For all paths p and q in X:
    - if X.p=X.q, then Y.p=Y.q

The value of feature **f** 

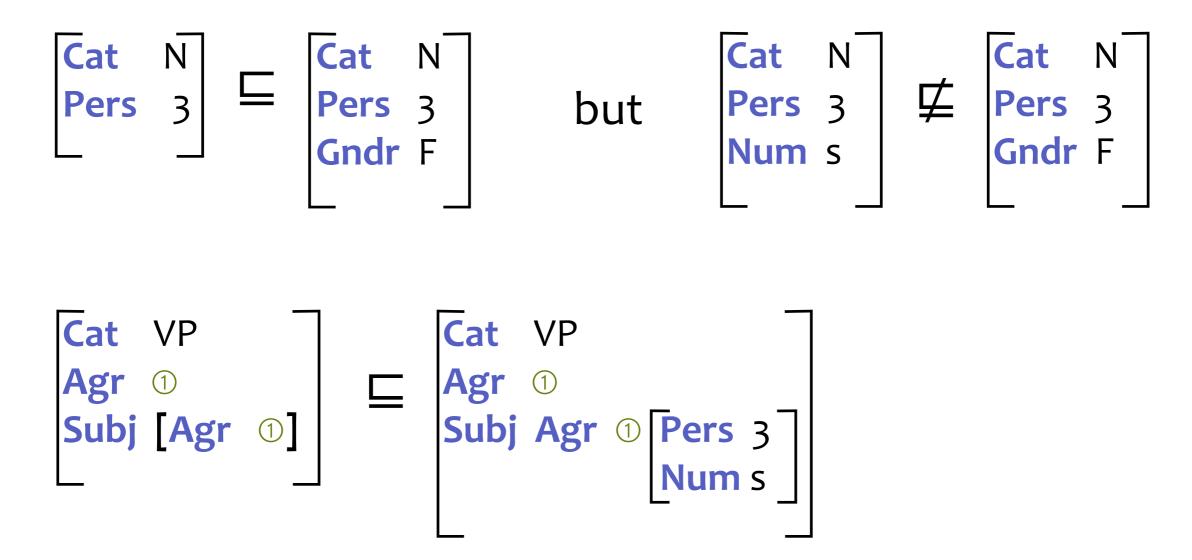
in structure X

For re-entrant

structures

### Subsumption of feature structures 2

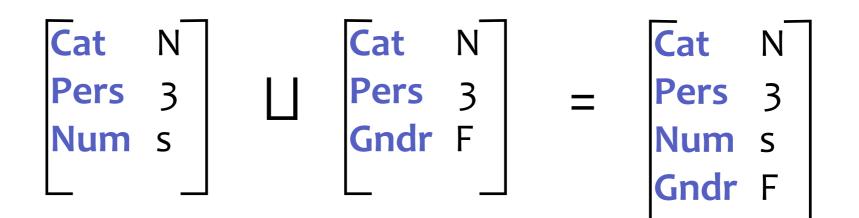
• Examples:



## Unification 1

- The unification of X and Y (X ∐ Y) is the most general feature structure Z that is subsumed by both X and Y.
  - Z is the smallest feature structure that extends both X and Y.
- Unification is a constructive operation.
  - If any features in X and Y are incompatible, it fails.
  - Else it produces a feature structure that includes all the features in X and all the features in Y.

### Unification 2



## Features in chart parsing

- Each constituent has an associated feature structure.
  - Constituents with children have a feature structure for each child.
- Arc addition:
  - The feature structure of the new arc is initialized with all known constraints.

#### • Arc extension:

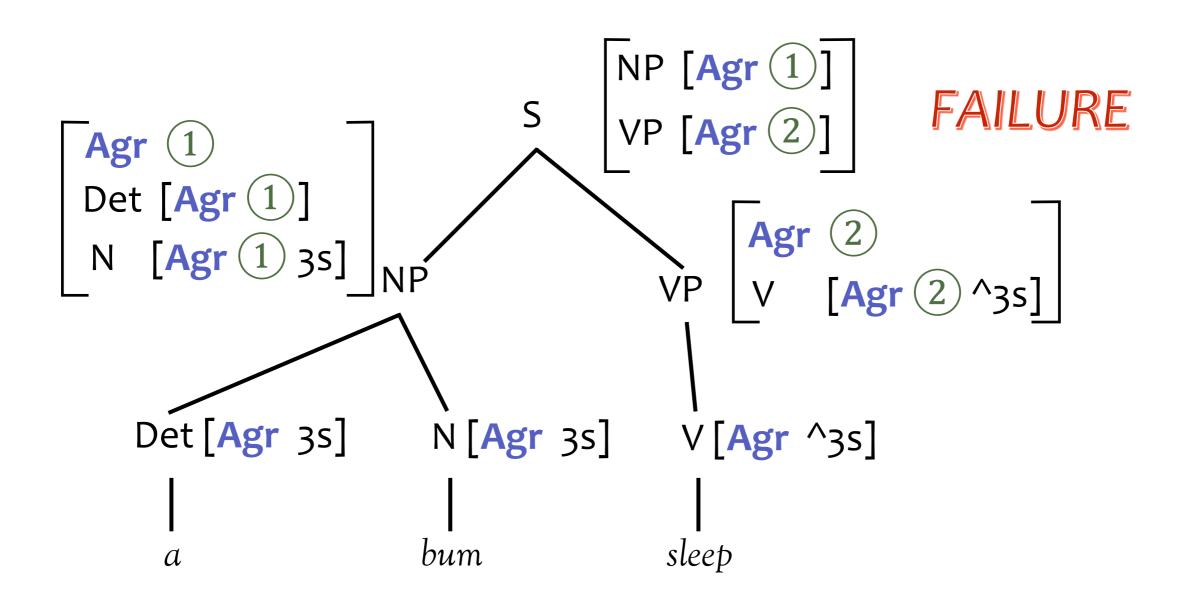
• The feature structure of the predicted constituent must unify with that of the completed constituent extending the arc.

## Sample grammar fragment

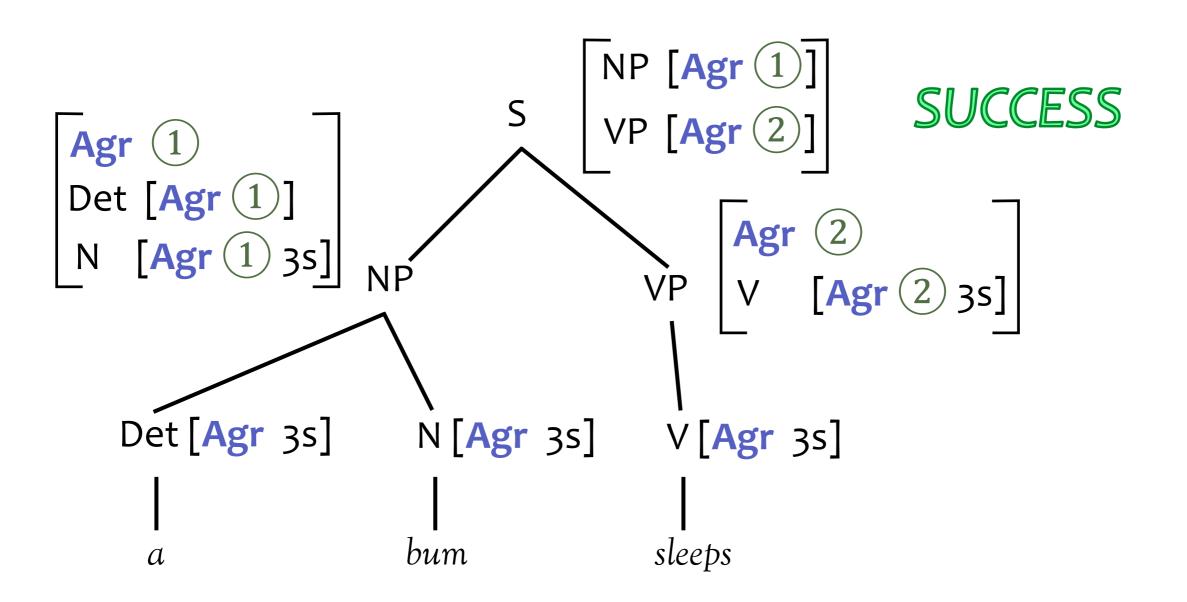
- $S \rightarrow NP VP$ 
  - (NP Agr) = (VP Agr)
- $NP \rightarrow Det N$ (NP Agr) = (N Agr)
  - (Det Agr) = (N Agr)
- $\mathsf{VP}\,\rightarrow\,\mathsf{V}$
- (VP Agr) = (V Agr)  $Det \rightarrow a \qquad Det \rightarrow some$   $[Agr 3s] \qquad [Agr 3p]$   $N \rightarrow dog \qquad N \rightarrow dogs$   $[Agr 3s] \qquad [Agr 3p]$   $V \rightarrow sleep \qquad V \rightarrow sleeps$   $[Agr ^3s] \qquad [Agr 3s]$

Det  $\rightarrow$  the [Agr {3s,3p}]

### Mismatched features fail



### Unifiable features succeed



## Advantages

- Distinguishes structure from other info.
- Allows for economy of specification:
  - Equations in rules:
     S → NP VP
     (NP Agr) = (VP Agr)

"Must unify with"

- Sets of values in lexicon:  $N \rightarrow fish$ (N Agr {3s, 3p})
- Allows for indirect specification and transfer of information, *e.g.*, head features.

### Features and the lexicon

- Lexicon may contain each inflected form.
  - Feature values and base form listed.
- Lexicon may contain only base forms.
  - Process of morphological analysis maps inflected form to base form plus feature values.
  - Time–space trade-off, varies by language.
- Lexicon may contain semantics for each form.

## Morphological analysis

- Morphological analysis is simple in English.
  - Reverse the rules for inflections, including spelling changes.

 $dogs \rightarrow dog [Agr 3p]$   $dog \rightarrow dog [Agr 3s]$   $berries \rightarrow berry [Agr 3p]$  $buses \rightarrow bus [Agr 3p]$ 

eats  $\rightarrow$  eat [Agr 3s, Tns pres] ripped  $\rightarrow$  rip [Tns past] tarried  $\rightarrow$  tarry [Tns past] running  $\rightarrow$  run [Tns pp]

Irregular forms will always have to be explicitly listed in lexicon.

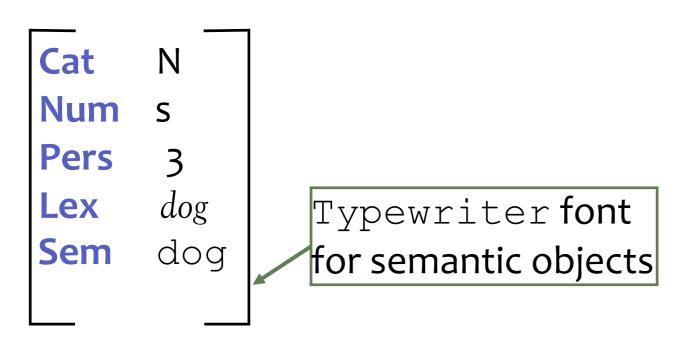
children  $\rightarrow$  child [Agr 3p] sang  $\rightarrow$  sing [Tns past]

### Morphology in other languages

- Rules may be more complex in other languages.
- Languages with compounding (e.g., German) or agglutination (e.g., Finnish) require moresophisticated methods.

### Semantics as a lexical feature

• Add a **Sem** feature:

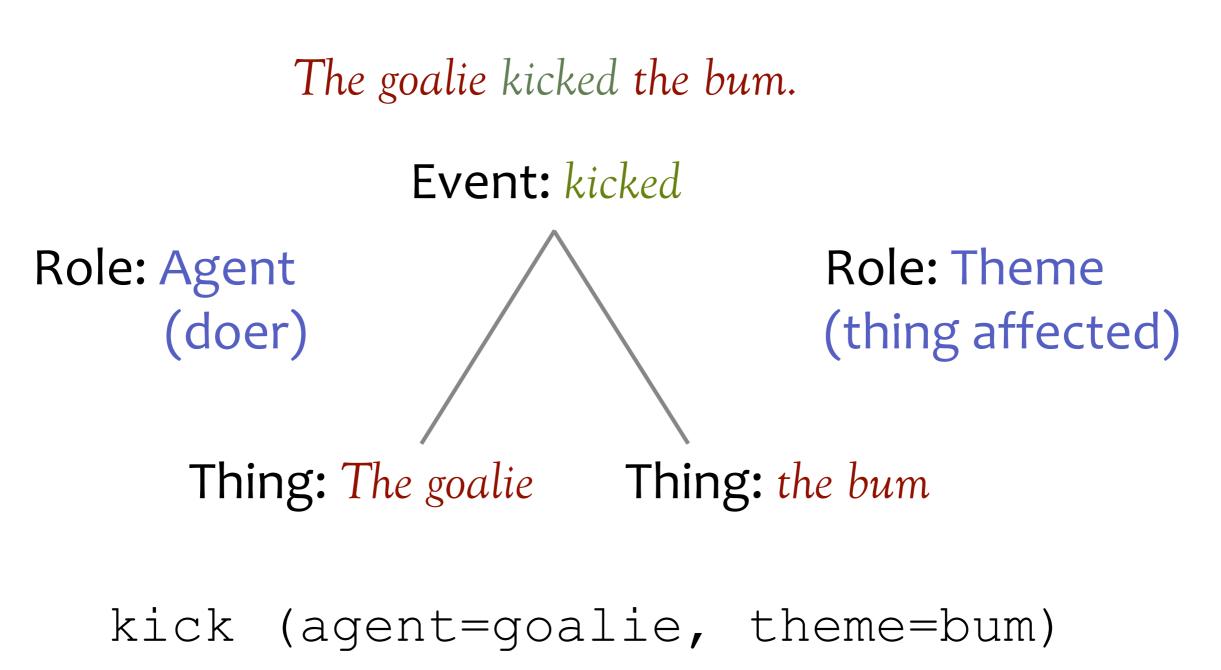


• The meaning of *dog* is dog. The meaning of *chien* and *Hund* are both dog. The meaning of *dog* is G52790 (i.e., whatever).

## Goal of parsing

- A representation of properties relevant to meaning and interpretation:
  - Things
  - Predicates (events)
- Entities (e.g., in a knowledge base)
- Roles
   Relations between things and predicates.
- Syntactic structure helps in:
  - Determining **things** and **predicates**.
  - Determining mapping of things to roles of predicates.

### Example



# Syntax $\leftrightarrow$ interpretation

- Mapping from structure to objects of interpretation
  - Things: NPs, Ss
  - Predicates: verbs, preps, APs
  - Roles: ??
- What are the roles in these examples?

Sara left. Ben found the treasure in the garage. Rosamund put the ball in the garage. Tim cut the wire with a pair of scissors. Ottawa was visited by Melissa and Nadia. Andrew felt like a failure.

## Syntax ↔ thematic roles

• Mapping is more or less regular:

Subject ≈ Agent / Experiencer Object ≈ Theme Object of preposition ≈ Goal/Location/ Recipient / Instrument

This mapping is used to determine appropriate semantic representation.

## Verb subcategorization 1

# • **Problem:** Constraints on verbs and their complements.

Nadia told / instructed / \*said / \*informed Ross to STFU. Nadia \*told / \*instructed / said / \*informed to STFU. Nadia told / \*instructed / \*said / informed Ross of the requirement to STFU.

Nadia gave / donated her painting to the museum. Nadia gave / \*donated the museum her painting.

Nadia put / ate the cake in the kitchen. Nadia \*put / ate the cake.

# Verb subcategorization 2

- VPs are much more complex than just V with optional NP and/or PP.
  - Can include more than one NP.
  - Can include clauses of various types: (knew) that Ross caressed the marmoset (wanted) to pay him the money

 Subcat: A feature on a verb indicating the kinds of verb phrase it allows: \_np, \_np\_np, \_inf, \_np\_inf, ...

Write this way to distinguish from constituents.

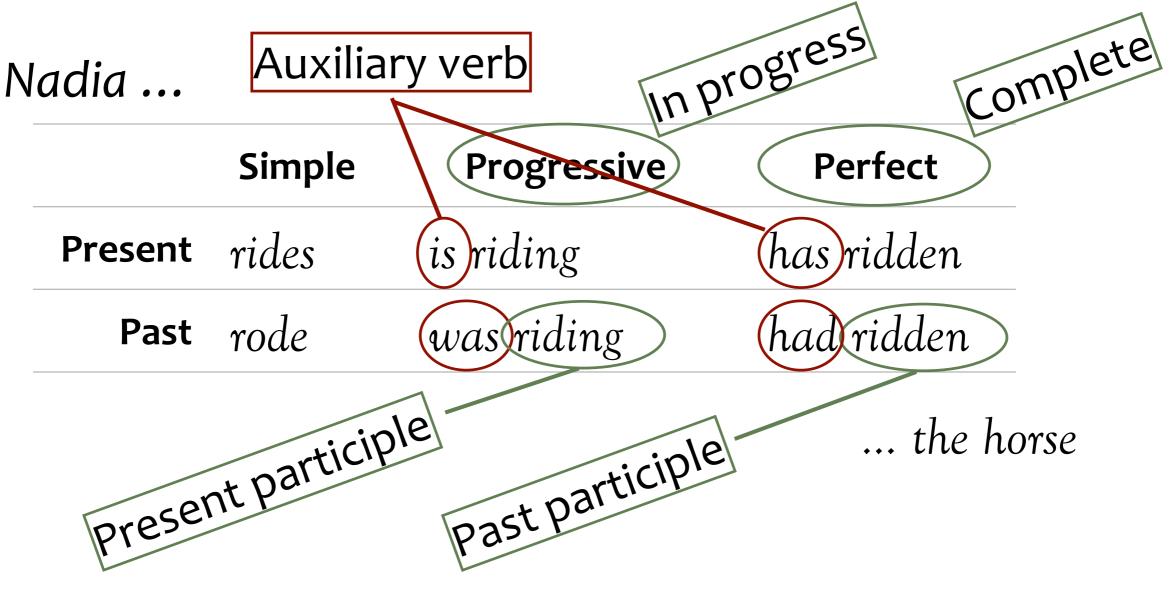
## Verb tense and aspect 1

- **Tense** and **aspect** markings on verb:
  - Locate the event in time (relative to another time).
  - Mark the event as complete/finished or in progress.

Nadia rides the horse. — In progress now. Nadia rode the horse. — Completed before now. Nadia had ridden the horse. — Completed before before now. Nadia was riding the horse. — In progress before now.

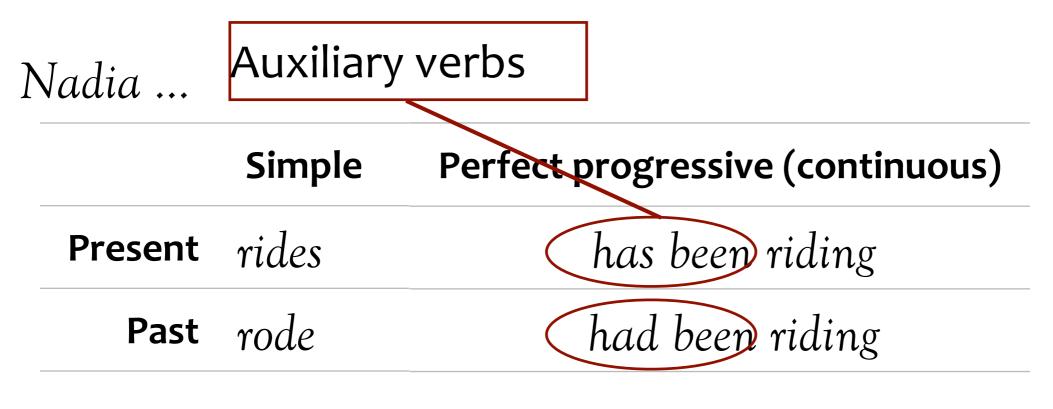
### Verb tense and aspect 2

- **Tense:** past or present
- Aspect: simple, progressive, or perfect



### Verb tense and aspect 3

- Tense: past or present
- Aspect: simple, progressive, or perfect



... the horse

## Modal verbs

 Modal verbs: Auxiliary verbs that express degrees of certainty, obligation, possibility, prediction, etc.

Nadia

# English auxiliary system

- Structure (so far):
   [MODAL][HAVE][BE] MAIN-VERB
- General pattern:
  - $VP \rightarrow AUX VP$

 $AUX \rightarrow MODAL | HAVE | BE$ 

• Use features to capture necessary agreements.

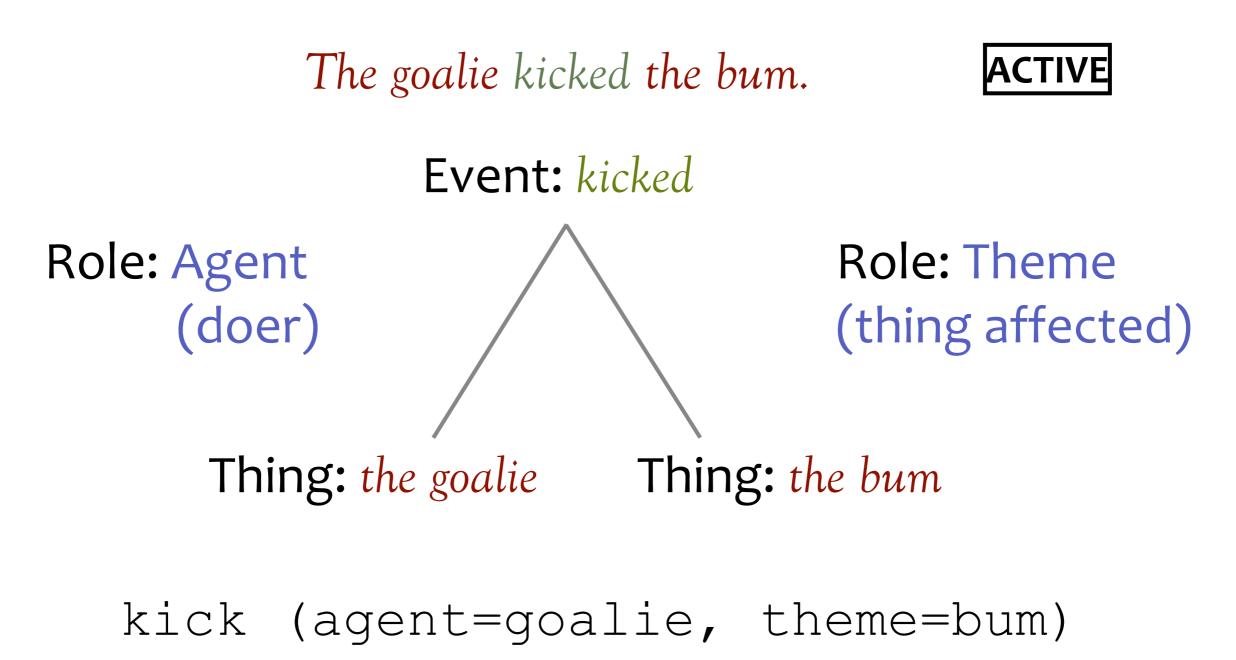
### Voice 1

- Voice: System of assigning thematic roles to syntactic positions.
  - English has active and passive voices.
- Passive expressed with be+past participle. Other auxiliaries may also apply, including progressive be.

Nadia was kissed. Nadia was being kissed. Nadia had been kissed. Nadia had been being kissed. Nadia could be kissed. Nadia could have been being kissed.

Structure: [MODAL] [HAVE] [BE1] [BE2] MAIN-VERB

### Voice 2

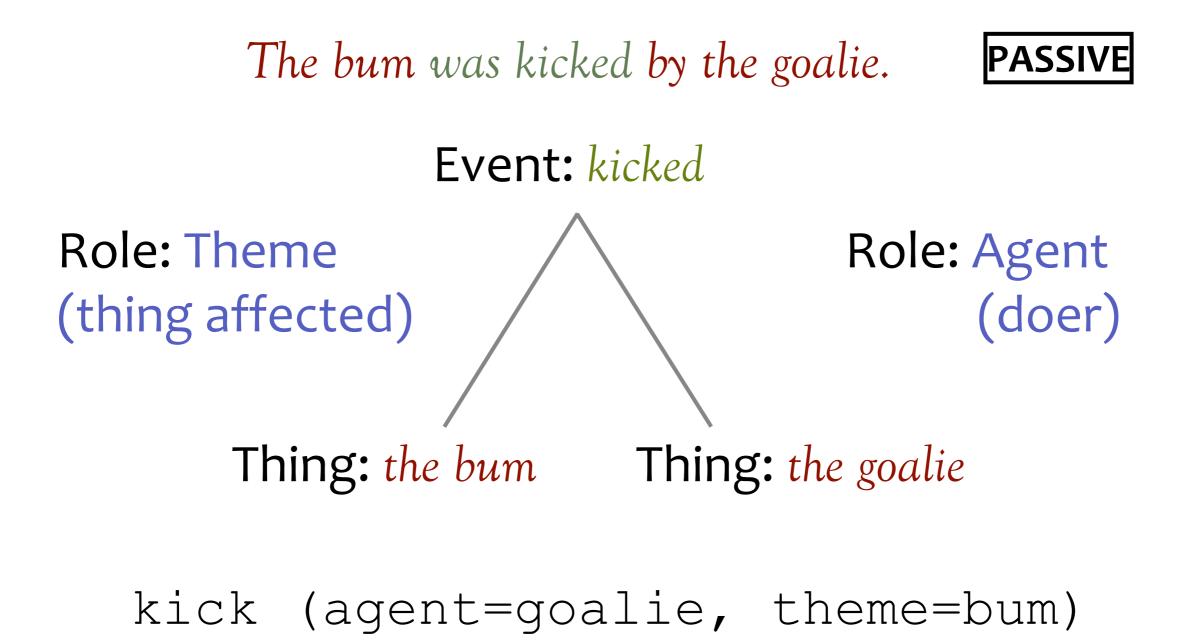




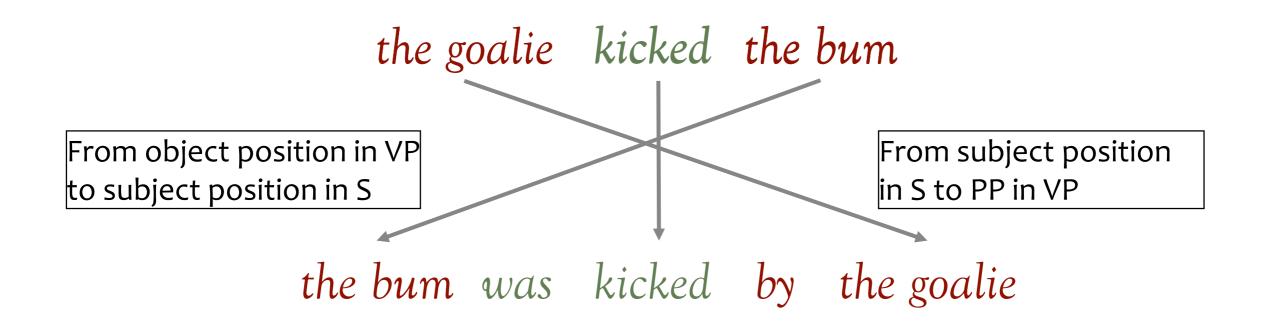
#### The bum was kicked. PASSIVE Event: kicked Role: Theme (thing affected) Thing: the bum

kick (agent=?, theme=bum)





### Passive as NP "movement"



#### The semantic representation doesn't change

### Passive as creation of a gap



'the bum' goes from object position in VP to subject position in S

the goalies kicked the bum

'the goalie' goes from the subject position in S to nowhere

Deletion from the semantic representation

#### Augmenting rules for passive voice

ADD

• For all rules of the form:

$$P \rightarrow V \text{ NP } X$$
$$(V \text{ Subcat}) = y$$

Metarule to ease grammar coding

$$VP \rightarrow VX$$
  
(V Subcat) = \_y  
(V VForm) = passive  
(VP VForm) = passive

Augment Aux+VP rules:
 VP → AUX VP

 (AUX Root) = Be2
 (AUX CompForm) = (VP<sub>2</sub> VForm)
 (VP<sub>2</sub> VForm) = passive

#### The GAP feature for passive voice

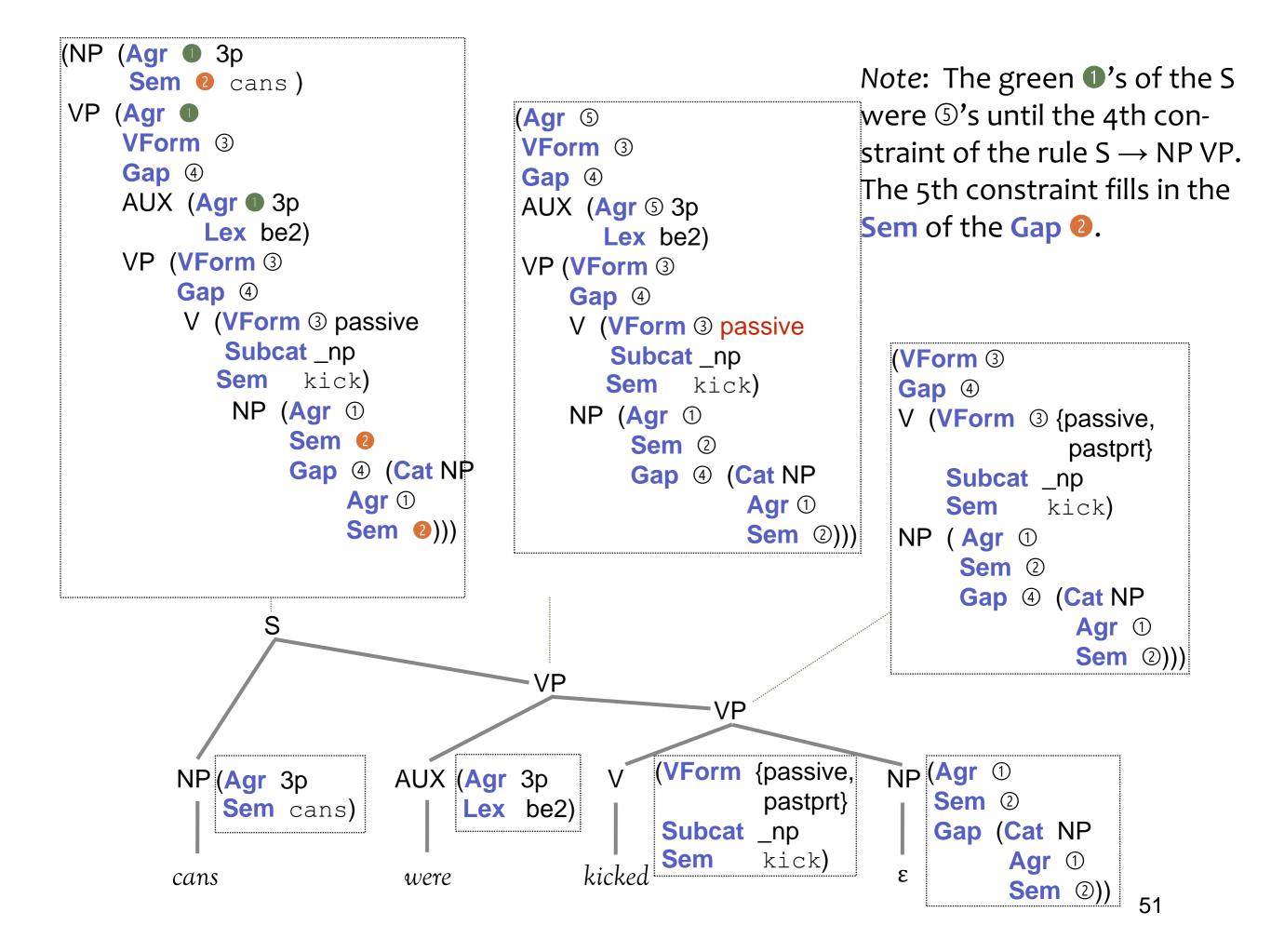
 $S \rightarrow NP VP$ (NP Agr) = (VP Agr)<sup>2</sup> (VP VForm) = passive  $^{3}$  (VP Gap Cat) = NP  $^{4}_{r}$ (VP Gap Agr) = (NP Agr)  $^{5}$  (VP Gap Sem) = (NP Sem)  $VP \rightarrow AUX VP$  $^{1}(VP_{1}Agr) = (AUXAgr)$  $^{2}(VP_{1}VForm) = (VP_{2}VForm)$  $\begin{array}{l} 3 (VP_1 Gap) \\ 4 (AUX Lex) \\ 5 (VP_2 VForm) \end{array} = (VP_2 Gap) \\ = be2 \\ = passive$  $V \rightarrow kicked$ 1 (V VForm) = {pastprt, passive}  $^{2}$  (V Subcat) = np <sup>3</sup> (V Lex) = kick <sup>4</sup> (V Sem) = kick

 $VP \rightarrow V NP$ 

```
<sup>1</sup> (VP VForm) = (V VForm)
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```
<sup>2</sup> (VP Gap) = (NP Gap)
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Empty string  $NP \rightarrow \epsilon^{\checkmark}$ <sup>1</sup> (NP Gap Cat) = NP (NP Gap Agr) = (NP Agr) $^{3}$  (NP Gap Sem) = (NP Sem)  $NP \rightarrow cans$  $^{1}$  (NP Agr) = 3p (NP Lex) = can(NP Sem) = cans  $AUX \rightarrow were$ (AUX <mark>Agr</mark>) = 3p (AUX <mark>Lex</mark>) = be2



### Other cases of NP "movement"

• Other constructions involve NPs in syntactic configurations where they would not get the right thematic roles using standard mapping.

Nadia seems to like Ross. Nadia seems to be liked. Nadia is easy to like. Whom did Nadia like? I fed the dog that Nadia likes to walk.

 We can use grammar rules with gap features to ensure correct structure/interpretation of these as well.

### Summary

- Features help capture syntactic constructions in a general and elegant grammar.
- Features can encode the compositional semantics of a sentence as you parse it.
- Features can accomplish mapping functions between syntax and semantics that simplify the interpretation process.